

# € TRAINING

Auditing Corporate Governance

A group of four smiling business professionals (three men and one woman) are seated at a table in a meeting room. They are all wearing white shirts. The woman in the foreground is wearing a black top and a multi-strand necklace. The background is a bright, modern office environment.

16 - 20 September 2024  
Dusseldorf (Germany)



# Auditing Corporate Governance

REF: A797 DATE: 16 - 20 September 2024 Venue: Dusseldorf (Germany) - Fee: 5940 Euro

## Introduction:

This training program is designed to equip professionals with the knowledge and skills needed to audit corporate governance practices effectively. It empowers them to drive ethical conduct and corporate excellence.

## Program Objectives:

At the end of this program, participants will be able to:

- Understand the principles and frameworks of corporate governance.
- Develop audit plans tailored to corporate governance requirements.
- Conduct comprehensive audits to assess compliance and effectiveness of governance practices.
- Analyze audit findings and provide actionable recommendations for governance improvement.
- Promote a culture of ethical conduct, transparency, and accountability in corporate governance.

## Targeted Audience:

- Internal auditors responsible for auditing corporate governance practices.
- Governance professionals involved in designing and implementing governance frameworks.
- Compliance officers tasked with ensuring adherence to corporate governance standards.
- Board members and senior executives interested in understanding audit perspectives on corporate governance.
- Professionals seeking to enhance their skills in auditing corporate governance.

## Program Outlines:

### Unit 1:

#### Introduction to Corporate Governance:

- Overview of corporate governance principles and frameworks.
- Key objectives and benefits of effective corporate governance.
- Understanding the roles and responsibilities of the board of directors, management, and shareholders.

- Legal and regulatory requirements governing corporate governance practices.
- Case studies illustrating the importance of strong corporate governance for organizational success.

## Unit 2:

### Developing Audit Plans for Corporate Governance:

- Steps to develop comprehensive audit plans aligned with corporate governance requirements.
- Identifying audit scope, objectives, and criteria based on governance frameworks and standards.
- Creating audit checklists and documentation for effective audit execution.
- Planning resources and ensuring auditor competence for corporate governance audits.
- Aligning audit plans with regulatory requirements and industry best practices.

## Unit 3:

### Conducting Audits of Corporate Governance Practices:

- Techniques for planning and conducting audits of corporate governance practices.
- Assessing compliance with governance codes, policies, and procedures.
- Evaluating the effectiveness of governance structures, processes, and controls.
- Identifying gaps and weaknesses in corporate governance practices.
- Documenting audit findings accurately and comprehensively.

## Unit 4:

### Analyzing Audit Findings and Providing Recommendations:

- Analyzing audit findings to determine root causes and trends.
- Prioritizing findings based on their significance and impact on corporate governance.
- Developing actionable recommendations to address identified weaknesses and gaps.
- Communicating audit results and recommendations effectively to stakeholders.
- Facilitating discussions and providing guidance on implementing governance improvements.

## Unit 5:



## Promoting Ethical Conduct and Accountability in Corporate Governance:

- Strategies for promoting ethical conduct and integrity within organizations.
- Establishing mechanisms for whistleblowing and reporting unethical behavior.
- Strengthening board oversight and accountability mechanisms.
- Enhancing transparency and disclosure in corporate reporting.
- Promoting a culture of accountability and responsibility at all levels of the organization.