

# € TRAINING

Banking Regulation



2 - 6 September 2024  
Barcelona (Spain)



# Banking Regulation

REF: T1402 DATE: 2 - 6 September 2024 Venue: Barcelona (Spain) - Fee: 5565 Euro

## Introduction:

This training program provides participants with the most up-to-date knowledge of the latest developments in banking, treasury, and performance appraisal systems, to develop their skills to carry out the associated business efficiently and to make a positive change in their direction according to the requirements and conditions of the work environment.

## Program Objectives:

At the end of this program, the participants will be able to:

- Navigate and interpret banking regulations and supervisory frameworks effectively.
- Apply regulatory rules governing account opening, operations, and credit transactions.
- Implement principles of bank information security and confidentiality in practice.
- Execute various payment methods and transactions in compliance with regulatory requirements.
- Utilize trade finance instruments and understand their applications in commercial transactions.
- Identify different types of bank accounts and the associated requirements for opening and maintaining them.

## Targeted Audience:

- Compliance Officers / Managers and their Deputies.
- Managers with responsibility for internal compliance controls.
- Legal, Audit, Risk, Operations and IT Specialists.
- Risk Managers.
- Staff working in risk functions.
- Internal & External Audit Staff.
- Regulatory Representatives.
- AML Officers.
- Accountants.
- Lawyers.

## Program Outlines:

### Unit 1:

#### Banking Regulations and Operations:

- Introduction to banks in the financial and banking system and their relationship with commercial banks.
- Regulations and rules for supervision and supervision of banks.
- Rules relating to the opening and operation of accounts.
- The most important regulatory rules related to credit bank loans, bank credits, credit cards, letter of credit, documentary credit

### Unit 2:

#### Bank Information Security and Account Maintenance:

- The rules of the bank's information and the principle of keeping the secret of the profession and the rules of confidentiality of the bank accounts and the exceptions to them.
- Some provisions and practical applications relating to checks and other commercial papers in banking.
- Opening and maintaining bank accounts including updating of account.
- Maintaining Petty cash.

### Unit 3:

#### Payment Methods and Transactions:

- Payments to Suppliers.
- Cash.
- Bank Transfer.
- CAD Cash Against Documents.
- LC at sight or deferred.

### Unit 4:

#### Trade Finance Instruments:

- Trade Finance.
- LC Issuance.
- Bank Guarantees.
- Performance bonds.
- Bid bonds.

## Unit 5:

### Account Types and Requirements:

- Other Payment Guarantees.
- Facility with banks Brief Description.
- Types of accounts and identify the features of each account.
- Requirements for opening a current account at banks.